

File No.: 9-13528-140US

December 20, 2000

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30490 U.S. PTO

09/740931



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MATTSON, James R.
Serial No.: Not yet assigned.
Filed: Concurrently herewith.
Title: METHOD AND SYSTEM FOR SIGNAL DEGRADE (SD)
INFORMATION PASSTHROUGH IN T-MUX SYSTEMS
Agent of Record: Max R. Wood **Tel:** (613) 230-6072

Assistant Commissioner for Patents
Washington, D.C. 20231
U.S.A.

Sir:

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,
MATTSON, James R.

By:

Max R. Wood
Agent of Record, Registration No. 40,388
SWABEY OGILVY RENAULT
1600 - 1981 McGill College Avenue
Montreal, Quebec, Canada H3A 2Y3

MRW:kp
Encls.

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| Form PTO-1449 (Rev.7-80) | U.S. Department of Commerce Patent & Trademark Office | ATTY. DOCKET NO. 9-13528-140US | SERIAL NO. Not yet assigned. |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | APPLICANT MATTSON, James R. | |
| | | FILING DATE: Concurrently herewith. | GROUP |
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U.S. PATENT DOCUMENTS

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date |
|-------------------|----|-----------------|------------|---------------|-------|----------|-------------|
| | AA | 5,841,760 | Nov. 24/98 | Martin et al. | 370 | 242 | Apr. 24/97 |
| | AB | | | | | | |
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FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AR | CDS Magazine - February 1999 - Volume 5, No. 2: Standards Protocols: The Synchronous Optical Network (http://www.csdmag.com/main/1999/02/9902standards.htm). |
| | AS | CSD Magazine - March 1999 - Volume 5, No. 3: Standards Protocols: SONET Frame Structures (http://www.csdmag.com/main/1999/03/9903standards.htm). |
| | AT | CSD Magazine - April 1999 - Volume 5, No. 4: Standards Protocols: SONET Payload Services: Delivery of User Traffic (http://www.csdmag.com/main/1999/04/9904standards.htm). |
| | AU | CSD Magazine - May 1999 - Volume 5, No. 5: Standards Protocols: SONET Control and Management (http://www.csdmag.com/main/1999/05/9905standards.htm). |
| | AV | CSD Magazine - June 1999 - Volume 5, No. 6: Standards Protocols: SONET Protection Switching (http://www.csdmag.com/main/1999/06/9906standards.htm). |

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| Examiner | Date considered |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |